

Attorney Docket: 254/059

Patent

#3  
T.D.  
6/27/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Inventor: Eric Kintzer and Carlos Serrano

Serial No.: 09/751,535

Filed: December 29, 2000

For: METHOD AND SYSTEM FOR  
IMPLEMENTING RULES AND  
RULEFLOWS

Group Art Unit: 2161

Examiner: Unknown

TRANSMITTAL OF MISSING PARTS

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

I. DOCUMENTS ENCLOSED:

In response to the NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER 37 CFR § 1.53(f), which was mailed by the Patent Office on February 15, 2001, enclosed are:

- ☒ Declaration
- ☒ Power of Attorney: ☒ Separate or ☐ Combined with Declaration
- ☒ Assignment of the invention to Brokat Technologies Inc. (together with PTO Form 1595)

CERTIFICATE OF MAILING  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

April 13, 2001  
Date of Deposit

Cindy McMorow

Name of Person Mailing Paper

*Cindy McMorow*  
Signature of Person Mailing Paper



- ## II. REQUEST FOR EXTENSION OF TIME:

☐ Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

**Fee**

- ### III. FILING FEES

- |  |       |                 |    |   |    |                                     |  |
|--|-------|-----------------|----|---|----|-------------------------------------|--|
| <b>BASIC FILING FEE:</b>   |       |                 |    |   |    |                                     | <b>\$710.00</b>                                    |
| Total Claims   | 78    | -               | 20 | = | 58 | x \$18.00                           | <b>\$1,044.00</b>                                  |
| Independent Claims   | 2     | -               | 3  | = | 0  | x \$80.00                           | <b>\$0.00</b>                                      |
| Multiple Dependent Claims  | \$270 | (if applicable) |    |   |    | <input type="checkbox"/>            | <b>\$0.00</b>                                      |
| Surcharge 37 CFR § 1.16(e)   | \$130 | (if applicable) |    |   |    | <input checked="" type="checkbox"/> | <b>\$130.00</b>                                    |
| <b>TOTAL OF ABOVE CALCULATIONS</b>   |       |                 |    |   |    |                                     | <b>\$1,884.00</b>                                  |
| Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. |       |                 |    |   |    |                                     | <input type="checkbox"/> <b>\$0.00</b>             |
| Extension of Time (from above)   |       |                 |    |   |    |                                     | <b>\$0.00</b>                                      |
| Assignment -- \$40 (if applicable)   |       |                 |    |   |    |                                     | <input checked="" type="checkbox"/> <b>\$40.00</b> |
| <b>TOTAL FEES SUBMITTED HEREWITH</b>                                       |       |                 |    |   |    |                                     | <b>\$1,924.00</b>                                  |



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IV. **METHOD OF PAYMENT OF FEES:**

- ☐ A check in the amount of \_\_\_\_\_ is enclosed to cover the above fee(s).
- ☒ Charge Lyon & Lyon's Deposit Account No. **12-2475** in the amount of **\$1,924.00**.
- ☒ The Commissioner is authorized to charge Lyon & Lyon's Deposit Account No. **12-2475** for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account No. **12-2475**.

Respectfully submitted,

LYON & LYON LLP

Dated: 4/13/01

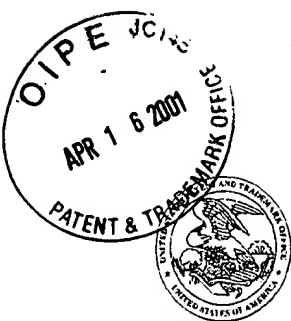
By: Peter C. Mei  
Peter C. Mei  
Reg. No. 39,768



**22249**

PATENT TRADEMARK OFFICE

LYON & LYON LLP  
Suite 4700  
633 W. Fifth Street  
Los Angeles, CA 90071  
(408) 993-1555



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/751,535	12/29/2000	Eric Kintzer	254/059

CONFIRMATION NO. 7431

## FORMALITIES LETTER



\*OC00000005770014\*

22249  
LYON & LYON LLP  
SUITE 4700  
633 WEST FIFTH STREET  
LOS ANGELES, CA 90071-2066

Date Mailed: 02/15/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1044.
  - \$1044 for 58 total claims over 20.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

- The balance due by applicant is \$ 1884.

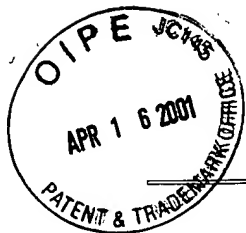
04/19/2001 EABUBAK1 00000058 122475 09751535

01 FC:101 710.00 CH  
02 FC:103 1044.00 CH  
03 FC:105 130.00 CH

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.


The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);



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*A copy of this notice MUST be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



# 3  
T.D.  
Patent  
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Eric Kintzer and Carlos Serrano

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For: METHOD AND SYSTEM FOR  
IMPLEMENTATION RULES AND  
RULEFLOWS

Group Art Unit: 2161

Examiner: Unknown

TRANSMITTAL OF SUBSTITUTION DRAWINGS

Box Missing Parts  
Commissioner of Patents  
Washington, D.C. 20231

Sir:

In response to the Notice To File Missing Parts of NonProvisional Application dated February 15, 2001, transmitted herewith for filing in the above-referenced application are the substitute drawings (23 sheets), for compliance with 37 CFR 1.84.

Respectfully submitted,

LYON & LYON LLP

Dated:

4/13/01

By:

Pm.

Peter C. Mei  
Reg. No. 39,768

633 West Fifth Street, Suite 4700  
Los Angeles, California 90071-2066  
(408) 993-1555

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Cindy McMorow

Name of Person Mailing Paper

Cindy McMorow  
Signature of Person Mailing Paper